

ID	Action	Status (Feb. 2017)	Lead / Partners	Output(s)	Targets and milestones	Delivery	Comments	Intervention Strand(s)
<u>16-01</u>	Study on Public Procurement good practice sharing across the EU for improving the delivery of European Structural and Investment (ESI) Funds	ONGOING	REGIO/ GROW / AGRI / MARE / EMPL	e-library/ structured handbook on good practice and roadmaps/ feasibility studies	*e-library - June 2017 , *Feasibility Study and Roadmap - Dec. 2017 *Final Report - May 2018	Consultants PwC	This is a follow-up to the stock-taking study (Action 14-01). Contract signed 15/12/16. The purpose is to explore ways of transferring and sharing public procurement good practices between Member States and across the EU. Detailed work to achieve concrete results on the ground in selected Member States with a focus on Member States with weaker procurement systems.	A, B and C
<u>15-02</u>	Monitoring of Action Plans for non-fulfilled ex-ante conditionalities in 8 MS.	ONGOING	REGIO / GROW / AGRI / MARE / EMPL	Development of benchmarks	Regular monitoring of EAC Action Plans by GU DL for fulfilment of all action plans reported in implementation/progress reports from MS – 30/06/17 and 31/08/2017	WG PP	Objective is to provide consistent treatment of all Member States having an action plan when deciding whether actions were correctly implemented or whether funds should be suspended. Information sharing between COM services (ESIF Funds) and developing indicators and benchmarks providing a basis for decision on suspension of funds in 2017.	A
<u>16-04</u>	Recommendation to Member States on Professionalisation of public procurement (Assessment of current practices and need for EU-level standards in professional training and qualifications)	ONGOING	GROW /REGIO	Recommendations	*3 targeted workshops and final closing conference: - 12/12/2016 Warsaw - 21/02/2017 Amsterdam - 28/04/2017 Zagreb * Recommendation to be adopted Q3 2017 * High level event on professionalisation and integrity (fall 2017)	In-house	Concept paper and Expert meetings in DG GROW Expert group and Working Group 2016/2017. Partially covered under stock-taking Action 1, Recommendation to be adopted mid-2017 will be targeted to all Member States. 3 targeted workshops with MS, academics and IOs to discuss recommendations to MS and good practice and final closing conference after adoption.	A
<u>14-05</u>	"TAIEX REGIO PEER2PEER" (study visits, expert missions, workshops)	ONGOING	REGIO/ Service level agreement with TAIEX / DG NEAR for REGIO PEER2PEER	Improved capacity, systems and procedures, knowledge sharing	Implementation of PEER 2 PEER extended until 2020 .	Experts identified via TAIEX REGIO PEER2PEER	Targeted support to specific MS of to assist Contracting Authorities "learning by doing". 74 exchanges in total implemented until during the pilot phase, 7 of them on public procurement topics.	A, B and C

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<u>15-05</u>	Scoping of the potential for database of irregularities in public procurement and exploitation of the currently existing ones	ONGOING	GROW / REGIO / AGRI / MARE / EMPL / OLAF	Scoping paper(s) for specific projects, contract registers	Internal consultations started; targets tbd after consultations.	PP WG	Work together on priorities set e.g.in the Single Market Strategy (SMS) and ECA report concerning better public procurement governance through data. Objectives to improve the general quality of data in MS, linking procurement data and data on EU projects, support the usage of data analysis in MS, support irregularities databases in MS. A future database should cover information available from existing databases at National and EU level, and also from structured audit reports, national remedy systems, complaints, infringements and	A and B
<u>13-03</u>	Country specific Strategies for Member States with identified systemic weaknesses – RO, BG, IT, EL (ongoing) and CZ, SK (under preparation tbc).	ONGOING	GROW / REGIO	Strategies tailored to MS needs, endorsed by the MS. These country strategies are initiated by GROW and implemented by the MS to achieve reforms for more effective, efficient and accountable public procurement	Ad-hoc deliverables, based on the implementation status of each MS	MS administration with the support of GROW and REGIO	PP strategies for BG and RO under implementation; MoU with EL and PP strategy for IT agreed. Regular information about progress to Members of the Working Group.	A and B
<u>14-03</u>	New PP Directives (April 2014) – training, dissemination on state of progress of transposition .	ONGOING	GROW / REGIO/AGRI	* Ad hoc presentations on request of MS and regular information to the expert group on PP (GROW) * Internal trainings for REGIO staff on new PP Directives (incl. strategic procurement) (REGIO)	*Dissemination on state of progress of exposition - every two weeks (<i>provided there is a change in the status</i>), exchanges on wiki platform are ongoing. * Training targets and milestones as per REGIO training plan 2017	In-house + consultants as required	Not all Member States have yet transposed the 2014 Directives. Specific actions to inform key ESIF Authorities about new elements of the PP Directives, notably e-procurement. Awareness raising is needed on potential impact on irregularities, financial corrections etc. due to changes in PP legislation halfway during programming period 2014-2020. The 28 members of the expert group have discussed specific topics and have been given access to an online wiki platform where good practices and documents can be shared. Internal capacity building activities for REGIO staff (and potentially other DGs) on new PP Directive and strategic procurements.	A

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14-04	Pilot initiative against corruption in PP for ESIF projects "Integrity Pacts - Civil control mechanisms for safeguarding EU funds" in cooperation with Transparency International (TI).	ONGOING	REGIO / GROW	Learning from global Integrity Pacts (IP) experience; Development of materials adapted to the ESI Funds Targeted outreach in MSs to raise interest in piloting IPs Implementation of 17 IPS	*Phase 1: 17 projects for IP piloting *Memorandums on Cooperation signed *Kick-off meeting - April 2016, partner event - 17 June 2016; *All 17 IP to be signed by June 2017	Grant to TI	Overall objective: to explore and promote the use of Integrity Pacts (IP) for safeguarding EU funds against fraud and corruption, and as a tool to increase transparency and accountability, enhance trust in authorities and government contracting, contribute to a good reputation of contracting authorities, bring cost savings and improve competition through better procurement The initiative is divided in 2 phases: Phase 1 finalised: 01/01/2015 - 31/12/2015 Phase 2 ongoing: 01/01/2016 - 31/12/2019 implementation of IPs for selected ERDF or CF or ESF funded projects in 11 MS.	A and B
16-02	Update of the Practical Guidance on the avoidance of the most common errors taking the new EU Procurement directives into account.	ONGOING	REGIO	Updated guidance document	Updated text of the guide in August 2017 Agreed and published by the end of 2017	External consultant	Work in progress.	A
16-03	Update of Auditor's checklists for public procurement errors based on changes introduced by the new PP Directives and update of guidelines on financial corrections.	ONGOING	REGIO / GROW	Updated checklists Dissemination	31/12/2017	In-house	To be shared with EMPL, AGRI, MARE and made available to ESIF authorities. Dissemination actions to ensure that national PP offices and audit authorities share the same practises.	A
16-05	Feasibility study concerning the actual implementation of a joint cross-border procurement procedure by public buyers from different Member States	COMPLETED	GROW	Report and case studies	Final report available. Dissemination of results - 29/03/2017 inter-DG meeting	Consultants BBG and SKI	The main task of this project is to carry out a feasibility study on the possible implementation of Joint Cross-Border Public Procurement (JCBPP), in particular focusing on the legal, administrative and organisational aspects of four selected JCBPP projects.	A and B
13-01	Practical Guidance on the avoidance of the most common errors	COMPLETED	REGIO/GROW/AGRI/MARE/EMPL	Guidance document[2]	n/a	Expert, Translation and dissemination by EC services	Translated to all 23 official languages. Wide dissemination (57.877 uploads) via ESIF managing and audit authorities/ paying agencies, to GROW Expert Groups and PP agencies and through ad hoc seminars, e.g. on MS request.	A

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15-03	Study on PP as a strategic tool , green, social, innovation, SMEs.	COMPLETED	GROW / REGIO	Report In depth country reports Case studies	n/a	Consultants PwC	Study includes economic analysis to quantify the level of take up of green, socially responsible and innovative public procurement in ten selected Member States. Final report published in Sep 2016. Appropriate follow up and concrete actions tbd.	C
14-01	Stock-taking study of current MS performance in PP and past capacity building initiatives and efforts	COMPLETED	REGIO / GROW / AGRI / MARE / EMPL	Interviews in 15 MS, Workshop in Brussels, Study[1], 28 Country Profiles	n/a	Consultants PwC	Overview of PP performance and experiences in MS, with emphasis on ways in which capacity, including control functions, has been built up. Recommendations are formulated per MS and the EC. The report finalised in 1st quarter of 2016 and it is the basis for further follow-up actions.	A and B
13-02	Compilation & analysis of evidence/ indicators on PP performance linked to funds management as input to ex ante conditionality (ExAC) negotiations with concerned MS in 2014. Information sharing and harmonisation for MS,	COMPLETED	REGIO / GROW / AGRI / MARE / EMPL	EAC assessment & evidence based reasoning in case of dis-agreement with MS self-assessment	n/a	WG PP	Prepare a readiness assessment as well as individual country fiches – dissemination. Action plans for negotiation. Cooperation with ESIF DG's and GROW.	A
14-02	Training/Guidance on how to prepare and follow-up on Action Plans to improve public procurement capacity	COMPLETED	REGIO	Regular presentations	n/a	REGIO / other ESIF DGs	Training/Guidance on how to prepare and follow-up on Action Plans to improve public procurement capacity linked to funds management (linked to ESIF EAC). Focused on diagnosis and capacity building/training internally.	C (A and B as well but less)
15-01	Pilot Project - Tailor-made and specifically targeted assistance to MS to improve PP administrative capacity	COMPLETED	REGIO	Support (dependent on the necessity of the MS)	n/a	OECD	Through TA cooperation project with the OECD in SK and BG on Public Procurement	A
15-04	Developing an index for rating Contracting Authorities according to their performance ("Trip advisor")	COMPLETED	REGIO /GROW	Scoping paper, Pilot of Index, Index	n/a	Consultants / researchers	Performance in public procurement can be measured at several levels, action to focus on the level of contracting authorities and develop an index for assessing and benchmarking their performance. Piloting of the Index on TED data completed in 2016. Second phase of pilot of indicator is envisaged in 2017 using improved TED data (when decided, action plan will be updated accordingly).	A, B and C

[1] http://ec.europa.eu/regional_policy/en/policy/how/improving-investment/public-procurement/study/

[2] http://ec.europa.eu/regional_policy/en/information/publications/guidelines/2015/public-procurement-guidance-for-practitioners